



HIPAA Privacy Policies and Procedures

These HIPAA Privacy Policies & Procedures fulfill our obligations to protect the privacy of individually identifiable health information that we create, receive, or maintain as a healthcare provider.

We implement these Privacy Policies and Procedures to protect the interests of our patients and to fulfill our legal obligations under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), its implementing regulations at 45 CFR Parts 160 and 164 (65 Fed. Reg 82462 (Dec. 28, 2000)) ("Privacy Rules"), as amended (67 Fed. Reg. 53182 [Aug. 14, 2002]), and state law that provides greater protection or rights to patients than the Privacy Rules.

As a member of our workforce or as our Business Associate, you are obligated to follow these Privacy Policies & Procedures faithfully. Failure to do so can result in disciplinary action, including termination of your employment or affiliation with us.

The HIPAA Rules apply to covered entities and business associates. Specific terms are defined below.

HIPAA Privacy Definitions

Individuals, organizations, and agencies that meet the definition of a covered entity under HIPAA must comply with the Rules' requirements to protect the privacy and security of health information and must provide individuals with certain rights with respect to their health information. If a covered entity engages a business associate to help it carry out its health care activities and functions, the covered entity must have a written business associate contract or other arrangement with the business associate that establishes specifically what the business associate has been engaged to do and requires the business associate to comply with the Rules' requirements to protect the privacy and security of protected health information. In addition to these contractual obligations, business associates are directly liable for compliance with certain provisions of the HIPAA Rules.

If an entity does not meet the definition of a covered entity or business associate, it does not have to comply with the HIPAA Rules. See definitions of "business associate" and "covered entity" at 45 CFR 160.103.

Business Associate

- On behalf of a covered entity, creates, receives, maintains, or transmits protected health
 information for a function or activity including claims processing or administration, data
 analysis, processing or administration, quality assurance, patient safety activities, billing,
 benefit management, practice management, and repricing; or
- Provides legal, actuarial, accounting, consulting, data aggregation, management, administrative, accreditation, or financial services to or for the covered entity, or to or for an organized health care arrangement in which the covered entity participates, where the provision of the service involves the disclosure of PHI from such covered entity or arrangement, or from another business associate to the person.

Business associate includes:

- A Health Information Organization, E-prescribing Gateway, or other person that provides data transmission services with respect to protected health information to a covered entity and that requires access on a routine basis to such protected health information.
- A person that offers a personal health record to one or more individuals on behalf of a covered entity.
- A subcontractor that creates, receives, maintains, or transmits protected health information on behalf of the business associate.

Business associate does not include:

- A health care provider, with respect to disclosures by a covered entity to the health care provider concerning the treatment of the individual.
- A plan sponsor, with respect to disclosures by a group health plan (or by a health insurance issuer or HMO with respect to a group health plan) to the plan sponsor, to the extent that the requirements of § 164.504(f) of this subchapter apply and are met.
- A government agency, with respect to determining eligibility for, or enrollment in, a
 government health plan that provides public benefits and is administered by another
 government agency, or collecting protected health information for such purposes, to the
 extent such activities are authorized by law.
- A covered entity participating in an organized health care arrangement that performs a
 function or activity as described by paragraph (1)(i) of this definition for or on behalf of such
 organized health care arrangement, or that provides a service as described in paragraph
 (1)(ii) of this definition to or for such organized health care arrangement by virtue of such
 activities or services.

Covered Entity

- A health plan.
- · A health care clearinghouse.
- A health care provider who transmits any health information in electronic form in connection with a transaction covered by this subchapter.

Disclosure

The release, transfer, provision of access to, or divulging in any manner of information outside the entity holding the information.

Electronic media

- Electronic storage material on which data is or may be recorded electronically, including, for example, devices in computers (hard drives) and any removable/transportable digital memory medium, such as magnetic tape or disk, optical disk, or digital memory card;
- Transmission media used to exchange information already in electronic storage media. Transmission media include, for example, the Internet, extranet or intranet, leased lines, dial-up lines, private networks, and the physical movement of removable/transportable electronic storage media. Certain transmissions, including of paper, via facsimile, and of voice, via telephone, are not considered to be transmissions via electronic media if the information being exchanged did not exist in electronic form immediately before the transmission.



Genetic information

- · The individual's genetic tests;
- · The genetic tests of family members of the individual;
- · The manifestation of a disease or disorder in family members of such individual; or
- Any request for, or receipt of, genetic services, or participation in clinical research which
 includes genetic services, by the individual or any family member of the individual.

Group health plan

An employee welfare benefit plan of the Employee Retirement Income and Security Act of 1974 (ERISA), 29 U.S.C. 1002(1)), including insured and self-insured plans, to the extent that the plan provides medical care, including items and services paid for as medical care, to employees or their dependents directly or through insurance, reimbursement, or otherwise, that:

- 1. Has 50 or more participants (as defined in section 3(7) of ERISA, 29 U.S.C. 1002(7)); or
- 2. Is administered by an entity other than the employer that established and maintains the plan.

HHS

The Department of Health and Human Services.

Health care

means care, services, or supplies related to the health of an individual. Health care includes, but is not limited to, the following:

- Preventive, diagnostic, therapeutic, rehabilitative, maintenance, or palliative care, and counseling, service, assessment, or procedure with respect to the physical or mental condition, or functional status, of an individual or that affects the structure or function of the body; and
- Sale or dispensing of a drug, device, equipment, or other item in accordance with a prescription.

Health care clearinghouse

A public or private entity, including a billing service, repricing company, community health management information system or community health information system, and "value-added" networks and switches, that does either of the following functions:

- Processes or facilitates the processing of health information received from another entity in a nonstandard format or containing nonstandard data content into standard data elements or a standard transaction.
- Receives a standard transaction from another entity and processes or facilitates the
 processing of health information into nonstandard format or nonstandard data content for
 the receiving entity.

Health care provider

A provider of medical or health services, and any other person or organization who furnishes, bills, or is paid for health care in the normal course of business.

Health information

Any information, including genetic information, whether oral or recorded in any form or medium, that:

- Is created or received by a health care provider, health plan, public health authority, employer, life insurer, school or university, or health care clearinghouse; and
- Relates to the past, present, or future physical or mental health or condition of an individual; the provision of health care to an individual; or the past, present, or future payment for the provision of health care to an individual.

Health maintenance organization (HMO)

A federally qualified HMO, an organization recognized as an HMO under State law, or a similar organization regulated for solvency under State law in the same manner and to the same extent as such an HMO.

Health plan

An individual or group plan that provides, or pays the cost of, medical care.

Protected health information

Individually identifiable health information that is:

- 1. Transmitted by electronic media;
- 2. Maintained in electronic media; or
- 3. Transmitted or maintained in any other form or medium.

Treatment

Treatment is broadly defined as the provision, coordination, or management of health care and related services by one or more providers, including the coordination or management of health care by a provider with a third party; consultation between providers relating to a patient; or the referral of a patient for care from one provider to another.